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PTO/SB/21 (03-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|---|------------------------|--------------------------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number     | 10/695,594                     |
|   | Filing Date            | October 28, 2003               |
|   | First Named Inventor   | George William Forrest, et al. |
|   | Art Unit               | 1646                           |
|   | Examiner Name          | Not Yet Known                  |
| Total Number of Pages in This Submission  | Attorney Docket Number | PC25474A                       |

| ENCLOSURES (Check all that apply)  |  |   |
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| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> After Allowance Communication to a Technology Center (TC)      |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition  | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application  | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  | <input type="checkbox"/> Status Letter  |
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| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Request for Refund  | All Cited References  |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> CD, Number of CD(s) _____   | Statement as Provided in 37 CFR 1.97(b)   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | Remarks  | Return Postcard   |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | AUTHORIZATION TO CHARGE THE FEE AND ANY ADDITIONAL FEES AS NECESSARY OR CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT 23-0455 IS HEREBY GIVEN. |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |  |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                          |
|--|--------------------------|
| Firm or Individual                         | Suzanne M. Harvey        |
| Signature                                  | <i>Suzanne M. Harvey</i> |
| Date                                       | <i>June 10, 2004</i>     |

| CERTIFICATE OF TRANSMISSION/MAILING  |                       |      |                |
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| Typed or printed   | Beth N. Gaines        |      |                |
| Signature  | <i>Beth N. Gaines</i> | Date | <u>6-14-04</u> |

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

**Complete if Known**

|                        |                                |
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| Examiner Name          | Not Yet Known                  |
| Attorney Docket Number | PC25474A                       |

Sheet

**2**

of

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Attorney Docket Number

PC25474A

## U. S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

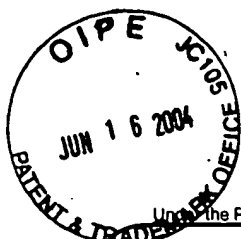
| FOREIGN PATENT DOCUMENTS |                          |   |                                   |  |   |                |
|--------------------------|--------------------------|---|-----------------------------------|--|---|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known) |                                   |  |   |                |
|                          |                          | WO 94/13644   | 6-23-1994                         | Pfizer Inc.  |   |                |
|                          |                          | WO 94/13661   | 6-23-1994                         | Pfizer Inc.  |   |                |
|                          |                          | WO 94/13676   | 6-23-1994                         | Pfizer Inc.  |   |                |
|                          |                          | WO 94/13677   | 6-23-1994                         | Pfizer Inc.  |   |                |
|                          |                          | WO 01/77100   | 10-18-2001                        | Pfizer Products Inc.                               |   |                |
|                          |                          |   |                                   |  |   |                |

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| Examiner<br>Signature |  | Date<br>Considered |  |
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PTO/SB/08B (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

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| Art Unit               | Not Yet Known                  |
| Examiner Name          | Not Yet Known                  |
| Attorney Docket Number | PC25474A                       |

Sheet 3 of 3

**NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|-----------------------|--------------------------|---|----------------|
|                       |                          | Howard, Harry, et al., 3-Benzisothiazolylpiperazine Derivatives as Potential Atypical Antipsychotic Agents, J. Med. Chem., Vol. 39:1, pp 143-148 (1996)   |                |
|                       |                          | Lowe III, John A., et al., 1-Naphthylpiperazine Derivatives as Potential Atypical Antipsychotic Agents, J. Med. Chem., Vol. 34, pp. 1860-1866 (1991)  |                |
|                       |                          |   |                |
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Examiner  
SignatureDate  
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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PC25474A

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT : GEORGE W. FORREST, ET AL.      EXAMINER :  
SERIAL NO. : 10/695,594      ART UNIT : 1646  
FILED : October 28, 2003      PAPER NO :  
FOR : OXINDOLE SUBSTITUTED PIPERAZINE DERIVATIVES

**Statement as Provided by 37 CFR 1.97(b)**

June 10, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

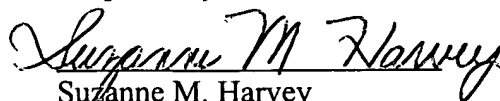
Dear Sir:

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of the first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

It is understood by the Applicants that this paper requires no fee; however, authorization is given to charge any necessary filing fees and any additional fees or credit any overpayment to Deposit Account 23-0455.

Dated: June 10, 2004

Respectfully submitted,



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